

FORM NL-9-PATTERN OF SHAREHOLDING SCHEDULE

PATTERN OF SHAREHOLDING

[As certified by the Management]

Shareholder	As at March 31, 2023		As at March 31, 2022	
	Number of Shares	% of Holding	Number of Shares	% of Holding
Promoters				
· Indian	2,407.39	65.39	2,407.39	65.39
· Foreign	1,274.43	34.61	1,274.43	34.61
Investors*				
· Indian				
· Foreign				
Others (to be specified e.g. ESOP etc.)				
TOTAL	3,682	100.00	3,682	100.00

Notes: -

Investors as defined under IRDAI (Transfer of Equity Shares of Insurance Companies) Regulations, 2015 and as amended from time to time

DETAILS OF EQUITY HOLDING OF INSURERS**PART A:****PARTICULARS OF THE SHAREHOLDING PATTERN OF THE UNIVERSAL SOMPO GENERAL INSURANCE COMPANY LTD
INSURANCE COMPANY, AS AT QUARTER ENDED 31ST MARCH 2023**

Sl. No.	Category	No. of Investors	No. of shares held	% of share-holdings	Paid up equity (₹ in Lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
						Number of shares (VI)	As a percentage of Total Shares held (VII) = (VI)/(III)*100	Number of shares (VIII)	As a percentage of Total Shares held (IX) = (VIII)/(III)*100
A	Promoters & Promoters Group								
A.1	Indian Promoters								
i)	Individuals/HUF (Names of major shareholders): (i) (ii) (iii)								
ii)	Bodies Corporate: (i) Dabur Investment Corporation (Partnership Firm) (ii) (iii)	1	4,71,47,727	12.81	4,715			1,21,47,727	25.77
iii)	Financial Institutions/ Banks (i) Indian Bank (ii) Indian Overseas Bank (iii) Karnataka Bank Limited	4 2 1	10,50,00,000 6,65,00,000 2,20,90,910	28.52 18.06 6.00	10,500 6,650 2,209				
iv)	Central Government/ State Government(s) / President of India								
v)	Persons acting in concert (Please specify)								
vi)	Any other (Please specify)								
A.2	Foreign Promoters								
i)	Individuals (Name of major shareholders): (i) (ii) (iii)								
ii)	Bodies Corporate: (i) Sompo Japan Insurance Inc (ii) (iii)	1	12,74,43,183	34.61	12,744			3,64,43,183	28.60
iii)	Any other (Please specify)								
B.	Non Promoters								
B.1	Public Shareholders								
1.1)	Institutions								
i)	Mutual Funds								
ii)	Foreign Portfolio Investors								
iii)	Financial Institutions/Banks								
iv)	Insurance Companies								
v)	FII belonging to Foreign promoter								
vi)	FII belonging to Foreign Promoter of Indian Promoter								
vii)	Provident Fund/Pension Fund								
viii)	Alternative Investment Fund								
ix)	Any other (Please specify)								
1.2)	Central Government/ State Government(s)/ President of India								
1.3)	Non-Institutions								
i)	Individual share capital upto Rs. 2 Lacs								
ii)	Individual share capital in excess of Rs. 2 Lacs								
iii)	NBFCs registered with RBI								
iv)	Others: - Trusts - Non Resident Indian - Clearing Members - Non Resident Indian Non Repatriable - Bodies Corporate - IEPF								
v)	Any other (Please Specify)								
B.2	Non Public Shareholders								
2.1)	Custodian/DR Holder								
2.2)	Employee Benefit Trust								
2.3)	Any other (Please specify)								
Total		9	36,81,81,820	100	36,818			4,85,90,910	13.20

Foot Notes:

(a) All holdings, above 1% of the paid up equity, have to be separately disclosed.

(b) Indian Promoters - As defined under Regulation 2(1)(g) of the Insurance Regulatory and Development Authority (Registration of Indian Insurance Companies) Regulations, 2000

(c) Where a company is listed, the column "Shares pledged or otherwise encumbered" shall not be applicable to "Non Promoters" category

PARTICULARS OF THE SHAREHOLDING PATTERN IN THE INDIAN PROMOTER COMPANY(S) / INDIAN INVESTOR(S) AS INDICATED AT (A) ABOVE

PART B:

Name of the Indian Promoter / Indian Investor:
1. INDIAN BANK

(Please repeat the tabulation in case of more than one Indian Promoter / Indian Investor)

Sl. No.	Category	No. of Investors	No. of shares held	% of share-holdings	Paid up equity (₹ in Lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
						Number of shares (VI)	As a percentage of Total Shares held (VII) = (VI)/(III)*100	Number of shares (VIII)	As a percentage of Total Shares held (IX) = (VIII)/(III)*100
(I)	(II)		(III)	(IV)	(V)				
A	Promoters & Promoters Group								
A.1	Indian Promoters								
i)	Individuals/HUF (Names of major shareholders): (i) (ii) (iii)								
ii)	Bodies Corporate: (i) (ii) (iii)								
iii)	Financial Institutions/ Banks								
iv)	Central Government/ State Government(s) / President of India	1	99,45,49,600	79.86	99454.96	0	0	0	0
v)	Persons acting in concert (Please specify)								
vi)	Any other (Please specify)								
A.2	Foreign Promoters								
i)	Individuals (Name of major shareholders): (i) (ii) (iii)								
ii)	Bodies Corporate: (i) (ii) (iii)								
iii)	Any other (Please specify)								
B.	Non Promoters								
B.1	Public Shareholders								
1.1)	Institutions								
i)	Mutual Funds	18	9,94,09,742	7.98	9,940.97	0	0	0	0
ii)	Foreign Portfolio Investors	179	5,14,31,339	4.13	5,143.13	0	0	0	0
iii)	Financial Institutions/Banks	2	248	0.00	0.02	0	0	0	0
iv)	Insurance Companies	9	4,12,90,345	3.32	4,129.03	0	0	0	0
v)	FII belonging to Foreign promoter of Indian Promoter (e)								
vi)	FII belonging to Foreign promoter of Indian Promoter (e)								
vii)	Provident Fund/Pension Fund								
viii)	Alternative Investment Fund	7	31,68,219	0.25	316.82	0	0	0	0
ix)	Any other (Please specify) -Foreign Institutional Investors	7	4,75,420	0.04	47.54	0	0	0	0
1.2)	Central Government/ State Government(s)/ President of India	1	4,021	0.00	0.40	0	0	0	0
1.3)	Non-Institutions								
i)	Individual share capital upto Rs. 2 Lacs	2,80,907	3,32,21,300	2.67	3,322.13	0	0	0	0
ii)	Individual share capital in excess of Rs. 2 Lacs	109	74,86,370	0.60	748.64	0	0	0	0
iii)	NBFCs registered with RBI								
iv)	Others: - Trusts - Non Resident Indian - Clearing Members - Non Resident Indian Non Repartriabile	18 3,157 25	90,395 16,41,892 23,295	0.01 0.13 0.00	9.04 164.19 2.33	0 0 0	0 0 0	0 0 0	0 0 0
	Foreign Nationals	1	1,700	0.00	0.17	0	0	0	0
	- Bodies Corporate	955	29,62,722	0.24	296.27	0	0	0	0
	- IEPF								
v)	Any other (Please Specify) Unclaimed Shares/ Escrow Account 5A Employee Directors & Relatives HUF -ESOP/ESOS/ESPS	2 2,659 5 3,070 22,316	3,862 6,23,797 3,180 11,30,903 79,22,789	0.00 0.05 0.00 0.09 0.64	0.39 62.38 0.32 113.09 792.28	0 0 0 0 0	0 0 0 0 0	0 0 0 0 0	
B.2	Non Public Shareholders								
2.1)	Custodian/DR Holder								
2.2)	Employee Benefit Trust								
2.3)	Any other (Please specify)								
Total		3,13,448	1,24,54,41,139	100.00	1,24,544.11				

Foot Notes:

- (a) At A.1 and A.2 of part B above, the names of individuals and bodies corporate must be specifically and separately mentioned.
(b) Insurers are required to highlight the categories which fall within the purview of Regulation 11(1)(ii) of the Insurance Regulatory and Development Authority (Registration of Indian Insurance Companies) Regulations, 2000.
(c) Details of investors (excluding employees holding under ESOP) have to be provided where the insurance company is unlisted.
(d) Details of Indian investors, singly and jointly holding more than 1%, have to be provided where the insurance company is listed.
(e) Please specify the names of the FIIS, indicating those FIIS which belong to the Group of the Joint Venture partner / foreign investor of the Indian insurance company.
(f) Please specify the names of the OCBs, indicating those OCBs which belong to the Group of the Joint Venture partner /foreign investor of the Indian insurance company.

Name of the Indian Promoter / Indian Investor:
2. INDIAN OVERSEAS BANK

(Please repeat the tabulation in case of more than one Indian Promoter / Indian Investor)

Sl. No.	Category	No. of Investors	No. of shares held	% of share-holdings	Paid up equity (₹ in Lakhs)	Shares pledged or otherwise encumbered	Shares under Lock in Period		
(I)	(II)		(III)	(IV)	(V)	Number of shares (VI)	As a percentage of Total Shares held (VII) = (VI)/(III)*100	Number of shares (VIII)	As a percentage of Total Shares held (IX) = (VIII)/(III)*100
A	Promoters & Promoters Group								
A.1	Indian Promoters								
i)	Individuals/HUF (Names of major shareholders): (i) (ii) (iii)								
ii)	Bodies Corporate: (i) (ii) (iii)								
iii)	Financial Institutions/ Banks								
iv)	Central Government/ State Government(s) / President of India	1	18,21,83,26,570	96.38	18,21,832.66	-	-	6,31,70,14,038	34.67
v)	Persons acting in concert (Please specify)			-					
vi)	Any other (Please specify)			-					
A.2	Foreign Promoters								
i)	Individuals (Name of major shareholders): (i) (ii) (iii)								
ii)	Bodies Corporate: (i) (ii) (iii)								
iii)	Any other (Please specify)								
B.	Non Promoters								
B.1	Public Shareholders								
1.1)	Institutions								
i)	Mutual Funds	9	1,91,51,856	0.10	1,915.19	-	-	-	-
ii)	Foreign Portfolio Investors Category - 1	43	2,50,23,021	0.13	2,502.30	-	-	-	-
iii)	Foreign Portfolio Investors Category - 2	2	15,22,988	0.01	152.30	-	-	-	-
iii)	Financial Institutions/Banks	4	42,24,100	0.02	422.41	-	-	-	-
iv)	Insurance Companies	4	46,62,178	0.02	466.22	-	-	-	-
v)	FII belonging to Foreign promoter of Indian Promoter (e)								
vi)	FII belonging to Foreign promoter of Indian Promoter (a)								
vii)	Provident Fund/Pension Fund								
viii)	Alternative Investment Fund	1	1,74,250	0.00	17.43	-	-	-	-
ix)	Any other (Please specify) -Financial Institutions	2	22,91,62,635	1.21	22,916.26	0	0	0	0
1.2)	Central Government/ State Government(s)/ President of India								
1.3)	Non-Institutions								
i)	Individual share capital upto Rs. 2 Lacs	4,91,436	23,40,20,422	1.24	23,402.04	-	-	-	-
ii)	Individual share capital in excess of Rs. 2 Lacs	1,321	7,27,36,102	0.38	7,273.61	-	-	-	-
iii)	NBFCs registered with RBI								
iv)	Others: - Trusts - Non Resident Indian - Clearing Members - Non Resident Indian Non Repatriable - Foreign Companies - Bodies Corporate - Key Managerial Personnel - IEPF	16 3,287 39 1 962 1	4,52,726 84,18,932 2,82,106 48,000 2,66,77,929 13,264	0.00 0.04 0.00 0.00 0.14 0.00	45.27 841.89 28.21 - 2,667.79 1.33	- - - - - -	- - - - - -	- - - - - -	- - - - - -
v)	Any other (Please Specify) Unclaimed Shares/Escrow Account 5A Employee Directors & Relatives HUF -ESOP/ESOS/ESPS	3,185 9,285	71,14,704 5,04,00,473	0.04 0.27	711.47 5,040.05	- -	- -	- -	- -
B.2	Non Public Shareholders								
2.1)	Custodian/DR Holder								
2.2)	Employee Benefit Trust								
2.3)	Any other (Please specify)								
Total		5,09,599	18,90,24,12,256	100	18,90,241.23			6,31,70,14,038	33.42

Foot Notes:

- (a) At A.1 and A.2 of part B above, the names of individuals and bodies corporate must be specifically and separately mentioned.
(b). Insurers are required to highlight the categories which fall within the purview of Regulation 11(1)(ii) of the Insurance Regulatory and Development Authority (Registration of Indian Insurance Companies) Regulations, 2000.
(c) Details of investors (excluding employees holding under ESOP) have to be provided where the insurance company is unlisted.
(d) Details of Indian investors, singly and jointly holding more than 1%, have to be provided where the insurance company is listed.
(e) Please specify the names of the FIIs, indicating those FIIs which belong to the Group of the Joint Venture partner / foreign investor of the Indian insurance company.
(f) Please specify the names of the OCBs, indicating those OCBs which belong to the Group of the Joint Venture partner / foreign investor of the Indian insurance company.

Name of the Indian Promoter / Indian Investor:
3. KARNATAKA BANK LTD

(Please repeat the tabulation in case of more than one Indian Promoter / Indian Investor)

Sl. No.	Category	No. of Investors	No. of shares held	% of share-holdings	Paid up equity (₹ in Lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
						Number of shares (VI)	As a percentage of Total Shares held (VII) = (VI)/(III)*100	Number of shares (VIII)	As a percentage of Total Shares held (IX) = (VIII)/(III)*100
(I)	(II)		(III)	(IV)	(V)				
A	Promoters & Promoters Group								
A.1	Indian Promoters								
i)	Individuals/HUF (Names of major shareholders): (i) (ii) (iii)								
ii)	Bodies Corporate: (i) (ii) (iii)								
iii)	Financial Institutions/ Banks								
iv)	Central Government/ State Government(s) / President of India			-					
v)	Persons acting in concert (Please specify)			-					
vi)	Any other (Please specify)			-					
A.2	Foreign Promoters								
i)	Individuals (Name of major shareholders): (i) (ii) (iii)								
ii)	Bodies Corporate: (i) (ii) (iii)								
iii)	Any other (Please specify)								
B.	Non Promoters								
B.1	Public Shareholders								
1.1)	Institutions								
i)	Mutual Funds	5	16,19,671	0.52	162	-	-	-	-
ii)	Foreign Portfolio Investors	151	6,34,31,545	20.31	6,343	-	-	-	-
iii)	Financial Institutions/Banks	3	736	0.00	0	-	-	-	-
iv)	Insurance Companies	2	1,59,33,730	5.10	1,593	-	-	-	-
v)	FII belonging to Foreign promoter of Indian Promoter (e)			-					
vi)	FII belonging to Foreign promoter of Indian Promoter (e)			-					
vii)	Provident Fund/Pension Fund								
viii)	Alternative Investment Fund	1	3,66,449	0.12	36.64	-	-	-	-
ix)	Any other (Please specify)								
1.2)	Central Government/ State Government(s)/ President of India								
1.3)	Non-Institutions								
i)	Individual share capital upto Rs. 2 Lacs	2,29,622	12,11,64,247	38.79	12,116.42	-	-	-	-
ii)	Individual share capital in excess of Rs. 2 Lacs	1,041	8,57,05,178	27.44	8,570.52	-	-	-	-
iii)	NBFCs registered with RBI	3	5,591	0.00	-	-	-	-	-
iv)	Others:								
-	Trusts	6	8,678	0.00	0.87	-	-	-	-
-	Non Resident Indian	3,267	79,51,260	2.55	795.13	-	-	-	-
-	Clearing Members	66	2,25,485	0.07	22.55	-	-	-	-
-	Non Resident Indian Non Repatriable								
-	Bodies Corporate	924	1,45,02,986	4.64	1,450.30	-	-	-	-
-	IEPF	1	10,08,297	0.32	100.83	-	-	-	-
v)	Any other (Please Specify)								
	Unclaimed Shares/Escrow Account 5A	3	2,10,278	0.07	21.03				
	Directors & Relatives	9	2,08,490	0.07	20.85				
B.2	Non Public Shareholders								
2.1)	Custodian/DR Holder								
2.2)	Employee Benefit Trust								
2.3)	Any other (Please specify)								
Total		2,35,104	31,23,42,621	100	31,234.26			-	0.00

Foot Notes:

(a) At A.1 and A.2 of part B above, the names of individuals and bodies corporate must be specifically and separately mentioned.

(b). Insurers are required to highlight the categories which fall within the purview of Regulation 11(1)(ii) of the Insurance Regulatory and Development Authority (Registration of Indian Insurance Companies) Regulations, 2000.

(c) Details of investors (excluding employees holding under ESOP) have to be provided where the insurance company is unlisted.

(d) Details of Indian investors, singly and jointly holding more than 1%, have to be provided where the insurance company is listed.

(e) Please specify the names of the FIIS, indicating those FIIS which belong to the Group of the Joint Venture partner / foreign investor of the Indian insurance company.

(f) Please specify the names of the OCBs, indicating those OCBs which belong to the Group of the Joint Venture partner / foreign investor of the Indian insurance company.

Name of the Indian Promoter / Indian Investor:
4. DABUR INVESTMENT CORPORATION

(Please repeat the tabulation in case of more than one Indian Promoter / Indian Investor)

Sl. No.	Category	No. of Investors	No. of shares held	% of share-holdings	Paid up equity (₹ in Lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
						Number of shares (VI)	As a percentage of Total Shares held (VII) = (VI)/(III)*100	Number of shares (VIII)	As a percentage of Total Shares held (IX) = (VIII)/(III)*100
(I)	(II)		(III)	(IV)	(V)				
A	Promoters & Promoters Group								
A.1	Indian Promoters								
i)	Individuals/HUF (Names of major shareholders): (i) Mr. Mohit Burman (ii) Mr. Vivek Burman			95 5	6997 17				
ii)	Bodies Corporate: (i) (ii) (iii)								
iii)	Financial Institutions/ Banks								
iv)	Central Government/ State Government(s) / President of India			-					
v)	Persons acting in concert (Please specify)			-					
vi)	Any other (Please specify)			-					
A.2	Foreign Promoters								
i)	Individuals (Name of major shareholders): (i) (ii) (iii)			-					
ii)	Bodies Corporate: (i) (ii) (iii)			-					
iii)	Any other (Please specify)			-					
B.	Non Promoters								
B.1	Public Shareholders								
1.1)	Institutions								
i)	Mutual Funds								
ii)	Foreign Portfolio Investors								
iii)	Financial Institutions/Banks								
iv)	Insurance Companies								
v)	FII belonging to Foreign promoter of Indian Promoter (e)								
vi)	FII belonging to Foreign promoter of Indian Promoter (e)								
vii)	Provident Fund/Pension Fund								
viii)	Alternative Investment Fund								
ix)	Any other (Please specify)								
1.2)	Central Government/ State Government(s)/ President of India								
1.3)	Non-Institutions								
i)	Individual share capital upto Rs. 2 Lacs								
ii)	Individual share capital in excess of Rs. 2 Lacs								
iii)	NBFCs registered with RBI								
iv)	Others: - Trusts - Non Resident Indian - Clearing Members - Non Resident Indian Non Repartriable - Bodies Corporate - IEPF								
v)	Any other (Please Specify) Unclaimed Shares/Escrow Account SA Directors & Relatives								
B.2	Non Public Shareholders								
2.1)	Custodian/DR Holder								
2.2)	Employee Benefit Trust								
2.3)	Any other (Please specify)								
Total		-	-	100	7,014			-	

Foot Notes:

- (a) At A.1 and A.2 of part B above, the names of individuals and bodies corporate must be specifically and separately mentioned.
(b). Insurers are required to highlight the categories which fall within the purview of Regulation 11(1)(ii) of the Insurance Regulatory and Development Authority (Registration of Indian Insurance Companies) Regulations, 2000.
(c) Details of investors (excluding employees holding under ESOP) have to be provided where the insurance company is unlisted.
(d) Details of Indian investors, singly and jointly holding more than 1%, have to be provided where the insurance company is listed.
(e) Please specify the names of the FIIS, indicating those FIIS which belong to the Group of the Joint Venture partner / foreign investor of the Indian insurance company.
(f) Please specify the names of the OCBs, indicating those OCBs which belong to the Group of the Joint Venture partner / foreign investor of the Indian insurance company.